

Universities New Zealand – Te Pōkai Tara Shared Pacific Data Principles Application

November 2021

“E sui faiga ae tumau faavae”

A Samoan proverb that encompasses the idea that our practices may change but our foundations remain.

This document has been endorsed on the 16/11/21 by – (KP, UNZ Programme Manager - Evidence and Evaluation)

Last Reviewed: November 2021

Next Review: November 2023

Shared Pacific Data Principles

Organisations who are using the IDI are required to show how they are embedding both Te Tiriti o Waitangi and Pacific Peoples' knowledge systems within their own data operating context.

Currently, there is no formal framework developed by Statistics New Zealand around Pacific Data sovereignty, however, there will be work proceeding in the near future.

This Shared Pacific Data Principles tool has been designed for Universities New Zealand by Komiti Pasifika to:

- Guide safe, responsible, and culturally appropriate use of data
- Ensure data use is carefully considered
- Ensure data practices occur in good faith and in alignment with Pacific Peoples communities
- Provide a framework for accountability and oversight

This will be a living document reflecting the *alaga'upu* (Samoan proverb recorded on the cover), and we will progressively develop a position regarding Pacific indigenous data sovereignty.

What are Shared Pacific Data Principles?

The Shared Pacific Data Principles we refer to are a distillation of Pacific values drawing on diverse Pacific cultural and knowledge systems developed by Pacific communities and individuals informed by common cultural values and concepts.

They are best described as a way in which relationships are formed, provide ways for groups to interact, and even guide the way people identify themselves. Culture and Language are integral to this.

Pacific Principles help inform tools that address ethical issues and guide shared practice when engaging with Pacific Peoples and the things that matter to them.

Application at UNZ

The following UNZ guidelines have been co-developed alongside UNZ committees – Te Kāhui Amokura and Komiti Pasifika. The development and application of the Shared Pacific Data Principles is a newly established piece of work to aside with the wider sectors movement towards understanding indigenous peoples and data sovereignty.

Shared Pacific Data Principles¹

<p>Family Community Relationships</p>	<p>Central to our Pacific communities and our way of life. Family creates and strengthens our identity and sense of belonging. Ancestry and a sense of place involve a kinship with what and who have gone before.</p>
<p>Collective Responsibility Organisations</p>	<p>Reflects Pacific world views and the way we do things that are commonly perceived as acceptable to the community. These include teamwork, consultation, and cooperation – with each member of the collective contributing according to their capability, to achieve common goals through an agreed approach.</p>
<p>Respect Sensitivity and Risk</p>	<p>How we treat others and the way we view situations. It includes respect of self, others, space, and environment. Respect occurs when other concepts such as vā and reciprocity are present. Respect ensures that every Pacific person, irrespective of age, gender, sexuality, spiritual beliefs, or cultural status, feels safe and protected. The practice of respect supports the maintenance of wellbeing and data sovereignty.</p>
<p>Reciprocity Value, Data Stewardship Governance</p>	<p>Acknowledges the importance of relationships and maintaining a balance between individuals, families, and communities. Every expression of kindness, support and respect will be reciprocated at a time and in a way that honour the people involved and who and what they represent.</p>
<p>Culture Knowledge and Expertise</p>	<p>We believe in the importance of culture and its relationship to the wellbeing of our communities. Our own cultural worldviews and lived experiences enables us to appreciate the importance of identity and diversity throughout our work.</p>
<p>Engagement Community Good</p>	<p>Relationships are at the heart of all our work. We believe in effective partnerships and collaboration with our clients. Engaging with our communities and families provides essential insights that contribute to our research.</p>
<p>Integrity Accountability and Transparency</p>	<p>We operate with the utmost sincerity and transparency. We are accountable to our communities who trust us to capture and share their stories.</p>
<p>Service Data Transformation</p>	<p>We strive for excellence and pride ourselves on ensuring delivery of professional services. Best practice is applied in all facets of our work.</p>

¹ <https://www.pasefikaproud.co.nz/about/shared-pacific-values/>
<https://www.moanaresearch.co.nz/>
<https://www.otago.ac.nz/research/otago085503.pdf>

UNZ – Shared Pacific Data Principles

Principle	Description	Scenario	Application
Culture Knowledge and expertise	Researchers demonstrate an awareness and intention to work with data in culturally appropriate ways.	When there are information and data requests or project proposals that focus on specific ethnic groups (Māori or Pacific peoples).	UNZ Programme Manager - Evidence and Evaluation must engage early and seek endorsement with the relevant UNZ committees. To ensure the technical expertise in creating insights from data goes alongside the appropriate cultural narratives.
Integrity Accountability and transparency	Level of accountability to communities of interest is explained and there is a community support for the research.	The UNZ Programme Manager - Evidence and Evaluation/ relevant staff member meets with relevant committees to seek data project endorsement.	UNZ ensures the data request form is filled, relevant committees are consulted, and request is approved by UNZ CE and relevant committee chair/s. UNZ Research findings or outputs must be publicly available on UNZ website and UNZ committees notified about the release of findings on regular basis
Collective Responsibility Organisations	Institutions have established systems, policies, and procedures to support culturally appropriate practices when working with data.	All UNZ data related requests go through the <i>Shared Pacific Data Principles process.</i>	UNZ ensures the data request form is filled, relevant committees are consulted, and request is approved by UNZ CE and relevant committee chair/s. UNZ Research findings or outputs must be publicly available on UNZ website and UNZ committees notified about the release of findings on regular basis
Engagement Community good	Community objective is aligned with research objectives and any potential harm is considered.	UNZ ensures project objectives are outlined for each information request or research project and during the consultation process with UNZ committees.	Data request will only be approved if the relevant stakeholders/committees are confident that research and community objectives are aligned. Any potential risks and harm are considered, discussed and a risk mitigation plan is co-developed.
Respect Sensitivity and risk	Sensitivities in the use of data are identified including privacy issues for whānau and identifiable groups.	When the UNZ data requests or project proposal focuses on specific ethnic groups which may identify small population numbers, or other identifiable factors.	Relevant UNZ committees will be able to assess the sensitivity and risk related with data request. In addition to existing confidentiality practices where individuals or education institutions will not be identified, the data request will ensure that identifiable groups such as iwi or whānau groups are not identified, unless endorsed by relevant UNZ committees.

<p>Family Community relationships</p>	<p>Researchers establish suitable relations with communities before undertaking substantive research.</p>	<p>In circumstances where data requests or project proposals require primary data regarding Māori or Pacific Peoples is required.</p>	<p>The relevant UNZ committees will be the voice of these communities.</p> <p>As a part of the initial early engagement UNZ will ensure the relationship is established before the research proposal is endorsed.</p>
<p>Reciprocity Value, Data Stewardship Governance</p>	<p>Research should be part of a body of work that contributes towards better outcomes for Māori, Pacific Peoples and all New Zealanders.</p>	<p>Any data requests or project proposals that have focus on specific Māori and Pacific Peoples (or other ethnic groups) should inform or contribute directly to the strategic goals of those UNZ committees.</p>	<p>UNZ committees will ensure that there is alignment between the data requests, project proposals, and the strategic goals of those UNZ committees. This ensures a process where everybody benefits from the work.</p>
	<p>Communities of interest are identified and involved in research decisions as early as possible.</p>	<p>When there are information and data requests or project proposals that focus on specific ethnic groups (Māori or Pacific peoples).</p>	<p>The relevant UNZ committees will be the voice of these communities.</p> <p>As a part of the initial early engagement UNZ will ensure the relationship is established before the research proposal is endorsed.</p>
	<p>Researchers show how the research transforms from its original collection purpose to answer research objectives.</p>	<p>When data request or project is approved and UNZ has preliminary results are available.</p>	<p>UNZ Programme Manager - Evidence and Evaluation will provide detailed outline of methodology and scope of the work for consultation.</p> <p>The relevant UNZ committee and UNZ CE to approve the output if the methodology and scope of the work meets the research objectives.</p>
<p>Service Data Transformation</p>	<p>Data is readily accessible and there is a demonstrated awareness of the impact on communities of interest.</p>	<p>When data request or project is approved, and final results are available.</p>	<p>Final sign-off on data request or project requires the relevant UNZ Committee and UNZ CE.</p> <p>Approved and final results of UNZ analysis will be publicly available on the UNZ website to inform the relevant communities and create future opportunities.</p>

Shared Pacific Data Principles – Process

The following process has been developed to support UNZ in culturally responsive data practices as highlighted in Shared Pacific Data Principles. It will provide UNZ with practical evidence of the framework in action.

Process Overview

1. **Data Request Form** (see template below) is filled out and sent to the UNZ Programme Manager - Evidence and Evaluation. UNZ CE is informed of the data request.
2. UNZ Programme Manager – Data and Evaluation **reviews** the Data Request Form (any missing/or required information is then filled in through discussion with those who submitted the form).
3. The **completed** Data Request Form goes to the relevant committees for an initial data discussion.
4. The committees will determine their level of **participation** in the project:
 - Informed, committee will participate**
 - For noting, not a priority**
 - No, not interested in participating this data application any further**
5. If “Informed/For noting” is selected the Data Request form will be **assessed and endorsement** given (if it meets the Shared Pacific Data Principles Guidelines):
 - a. **Culture** (Knowledge and Expertise)
 - b. **Respect** (Sensitivity and Risk)
 - c. **Integrity** (Accountability and Transparency)
 - d. **Engagement** (Community Good)
6. Relevant committee Chairs and UNZ CE will **endorse or seek further information**.

If data request **endorsed**:

7. The UNZ Programme Manager – Data and Evaluation will do an initial IDI scope of the work.
8. The preliminary results will be provided to the relevant committees and UNZ CE.
9. The relevant committees will ensure the following principles have been upheld by UNZ:
 - a. **Reciprocity** (Value, Data Stewardship Governance)
10. The relevant UNZ committees and UNZ CE give endorsement for the data or project release.
11. UNZ Programme Manager – Data and Evaluation and relevant Portfolio Managers ensure that all aspects of Reciprocity principle is met. Research finding or outputs will be made publicly available on UNZ website and UNZ committees notified about the release of findings.
 - a. **Service** (Data Transformation)

If data request is **declined**:

12. Relevant committees will **provide feedback** on the Data Request Form to the UNZ Programme Manager – Data and Evaluation, and UNZ CE.
13. Data Request Form can be **resubmitted** to the relevant committees once feedback has been applied.

Shared Pacific Data Principles – UNZ Data Request Template

Updated: 1/9/2021

Contacts	Date of data request
	Organisation (Affiliation)
	Primary contact (Title, names)
	Contact email
Population of interest	Describe the population(s) of interest
	Are Pacific peoples a group of interest?
	Specify the Pacific peoples of interest. (Select more than one if applicable)
Data coverage	Describe the years or cohorts of interest
Data request	Describe the data request
Purpose	Describe the objectives/purpose of this request
Desired output	Describe the format of desired output
Methods	Specify the desired method or approach for this data request (if known)
Intended use	Describe intended use
If Pacific people is a group of interest: For internal use by UNZ and UNZ committees	Relevant UNZ committee for consultation (Select more than one if applicable)
	Level of participation by committee
	Assessment for Culture (Knowledge and Expertise)
	Assessment for Respect (Sensitivity and risk)
	Assessment for Integrity (Accountability and Transparency)
	Assessment for Engagement (Community Good)
	Assessment for Collective Responsibility (Organisations)
	Assessment for Family (Community relationships)
	Assessment for Reciprocity (Value, Data Stewardship Governance)
	Assessment for Service (Data Transformation)
	Endorsement by UNZ Committee chair
	Endorsement by UNZ CE
Date of endorsement	
UNZ Programme Manager (Evidence and Evaluation)	Methods and approach taken (describe)
	Assessment for Quality (Delivery of Professional Services)
For internal use by UNZ and UNZ committees	Assessment for Reciprocity (Value, Data Stewardship Governance, Data Transformation)
	Output release approved by UNZ Committee chair
	Output release approved by UNZ CE
	Date of approval